

part of eex group



Application for
approval as a
Trading Participant in
EEX Guarantees of
Origin -
European Energy
Exchange

Required in single copy

24.06.2024

Leipzig

Ref. TP_EEX 06

Release 001

- only required as scan -

Preliminary remark

With this form you apply for approval as a Trading Participant in Guarantees of Origin at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

The delivery notification of Guarantees of Origin will be effected by European Commodity Clearing Luxembourg S.a.r.l. (ECC Lux), a subsidiary of ECC. Both ECC and ECC Lux will be mentioned in this form as ECC.

Approval as a Trading Participant requires:

- (a) participation in clearing as a Clearing Member or Non-Clearing Member for European Energy Exchange
- (b) admission as an exchange participant at European Energy Exchange

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant and sign it in a legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

MemberReadiness@ecc.de
and
Membership@eex.com
Phone: +49 341 24680 261

3. ECC shall decide on the application regarding approval as a Trading Participant and it shall inform the applicant and European Energy Exchange thereof.

Application

We hereby apply for approval as a Trading Participant in trading of Guarantees of Origin according to the Clearing Conditions of ECC.

The legal basis for approval as a Trading Participant is established in

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de

Applicant

Member-ID: *

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="E"/>	<input type="text" value="X"/>
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* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Name of the Company and Company Register	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

Emergency Contact / 24/7

Contact person
Contact person
Telephone number
Fax
E-mail

Name of Registry Sender	
Registry Name	
Registry Country	
Registry Account No. Sender	

Name of Registry Receiver	
Registry Name	
Registry Country	
Registry Account No. Receiver	

VAT details

VAT details are only required if a classification for the purpose of VAT treatment has not taken place previously or changes have to be communicated compared to the previously provided TP07 and/or related to the envisaged transactions in trading of Guarantees of Origin. We have attached the form TP07 "VAT Details" with this application.

General declarations

1. We hereby assure that we will, in addition to the Clearing Conditions of ECC, acknowledge and observe the rules and regulations of ECC.
2. We hereby represent and warrant by way of an independent guarantee and irrespective of fault (*selbstständiges, verschuldensunabhängiges Garantieversprechen*) to ECC and ECC Lux that we are legitimate owner of all Guarantees of Origin we sell on the European Energy Exchange, they are not subject to any claims of third parties and we are entitled to transfer legal and beneficial ownership of all assets and rights connected with such Guarantees of Origin.
3. This application shall expire as soon as the approval as a Trading Participant is revoked according to the Clearing Conditions of ECC.

PRIVACY NOTICE

The undersigned assures that he/she has fully informed the contact persons named in connection with this application and the legal relation with ECC about the processing of their personal data by ECC and its subcontractors in accordance with GDPR and that he/she has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the Trading Participant:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
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