Preliminary remark

This form constitutes the basic requirement for registration at ECC and serves the purpose of an appropriate Value Added Tax (VAT) classification of the trading participants in order to ensure adequate invoicing, thus avoiding VAT risks.

The VAT treatment of energy trades depends on the residency of the service recipient and service provider and on the inclusion of additional branch offices. Therefore all trading participants are required to fill in section 1 of the form, which contains general questions regarding the registered office and the potential inclusion of additional branch offices into the trading process as well as questions regarding the mandatory use of a Value Added Tax - Identification Number (VAT ID No.) as stipulated by Tax Authorities.

From a tax point of view, the consent in section 2 is a prerequisite for the recognition of any invoicing for physical delivery of commodities and other supplies to ECC in the form of credit notes. Thus, the completion of this section is mandatory for all trading participants.

Send this form in scanned version to the following E-Mail address:

MemberReadiness@ecc.de
Phone: +49 341 24680 261

Period of Validity

This form has to be filled in and signed by the trading participants at first registration.

Trading participants are obligated to notify ECC about any changes immediately and without undue delay.

ECC may request to complete this form again in accordance with the ECC Clearing Conditions.

This form is provided upon:			
First registration			
Request by ECC - Member-ID: E X			
Change by participant - Member-ID: E X			
With validity for the VAT ID used (listed in point 1.2) from:			

1. General Information

1.1. Applicant

Name of trading partic	ipant
Name of the company	
Registered office of tra	ding participant
Country	Street and house number
Doctol code	Disco
Postal code	Place
VAT ID No.	Tax Identification No.
Legal Entity Identifier (LEI)
1.2. Service rec	cipient / Service provider for VAT (Please consult your tax department or tax advisor)
The data indicate	ed under point 1.1. corresponds to the service recipient /service provider
The following da	ta corresponds to the service recipient /service provider:
Headquarters of	Applicant (see 1. 1.) Branch of Applicant (see 1. 1.)
	ent / Service provider for VAT
Name of the company	
Registered office of Se	rvice recipient / Service provider Street and house number
Postal code	Place
VAT ID No. of Service rec	ipient / Service provider Tax Identification No.
If no VAT ID is available, have to state a VAT ID.	a TIN has to be provided. However, trade participants domiciled in an EC country

Please attach a current and valid VAT ID certificate issued by your local tax authority.

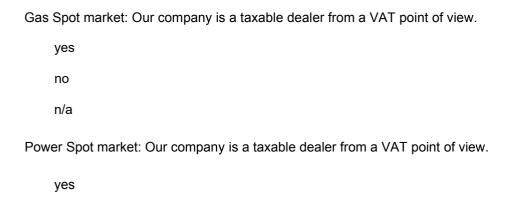
1.3. Postal address for invoices / self-bills if different from 1.2.

Postal address for invoices				
Country	Street and house number			
Postal code	Place			
Email address				
1.4. Informati	on about Service recipient / Service provider			
Information on involve	ed fixed establishment or branch office in relation to the ENTITY body referred to under 1.2.			
Office premises:	rented own property			
Own staff:	yes no			
Number of employees	:: ::			
Local contact person:				
Phone:				
Fax:				
Email:				
Homepage:				
	t of more than one fixed establishment or branch office in the trading please add for each one of them.			
We have fixed establish	shments or branch offices in the following countries:			
Germany				
Luxembourg				

1.5.	Settlement of trades
	Yes, we entirely trade via our entity listed in 1.2 No, we partially trade via another entity.
The pr	oducts cleared by ECC are used for the purposes of the respective trading participant's unit listed in 1.2
	yes
	no, please explain:
1.6.	Trade via an authorized agent
	We trade via an authorized agent but in the name and account of the applicant. Find more details in the attached agreement.
	Information on company and address of authorized agent:

1.7. Taxable dealer in Spot markets and in case of physical deliveries resulting from Derivative market positions

A taxable dealer within the meaning of VAT is defined as a company whose principal/main activity in respect to purchases of gas and power is reselling such products and whose own consumption of these products is negligible (Art. 38 (2) Directive 2006/112/EC).



Only for companies located in Germany: If yes, please attach a current **USt 1 TH**.

1.8. Specific Markets

Serbian Power Market

no

n/a

Applicants wishing to become active in the Serbian electricity market must submit a license from the National Energy Agency of the Republic of Serbia.

- Electricity supply or/and
- Wholesale electricity supply

Norwegian Power Market

Applicants wishing to become active in the Norwegian electricity market must submit a valid Norwegian VAT number in the respective TP form.

2. Confirmations

2.2.

2.1. Confirmation of correctness

We confirm the correctness of the information herein above especially on the use of our supplies and services for the above stated registered offices or establishments. We shall immediately inform you in writing about all changes to the information and answers provided by us in this questionnaire.

We are aware that we are responsible for the correctness and completeness of the information herein above as well as the submission of modifications in due time and that we are liable towards ECC AG and its affiliated companies with regard to this.

Confirmation of the VAT ID and/or tax ID to be used

The settlement of trades is completely conducted via VAT ID / TIN listed in 1.2. The service recipient / service provider listed in 1.2 acts in his own name and for his own account.				
The VAT number listed in 1.2. is an own VAT ID number				
The VAT number listed in 1.2. is a VAT Group number				
The leading company in VAT group is (full address):				
Company Name:				
Address:				
Please submit an official letter of your TAX authority declaring the connection of the applicant to the VAT Group.				
The settlement of trades is conducted depending on the products, via several VAT ID No or TIN.				
Please explain this separated settlement via an official letter to ECC.				

2.3. Self-billing invoices

By signing this document we agree that ECC AG and its subsidiary European Commodity Clearing Luxembourg S.á r.l. (ECC LUX) invoice any payment requests which result from the physical delivery of commodities (i. e. energy and gas) and other supplies (inter alia emission allowances and certificates of origin) in the form of self-bill invoices (according Art. 224 Directive 2006/112/EC) in our name and on our behalf. We are aware that we remain responsible for the correctness of any self-bill invoice. A self-bill invoice is accepted if we do not object in writing within 10 working days upon receipt. Should we not receive a self-bill invoice for a transaction period it is our obligation to request a duplicate of the self- bill invoice.

2.4. Electronic invoicing

By signing this document we agree that ECC AG and its subsidiary European Commodity Clearing Luxembourg S.á r.l. (ECC LUX) submit invoices and self-billing invoices electronically by means of a download-link sent per email and in pdf format and that we use this kind of electronic submission to receive the invoicing documents.

By signing this document, we agree that partner exchanges, for which ECC AG provides the respective services, may submit invoices and self-billing invoices electronically by means of a download-link sent per email and in pdf format and that we use this kind of electronic submission to receive the invoicing documents. This confirmation refers to the invoicing between trading participant and partner exchange.

Invoices have to be ordered via the ECC Member Area using the ECC form T10s. It is the trading participants' obligation to keep the contact information up-to-date.

DATA PROTECTION DECLARATION

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the DATA PROTECTION DECLARATION which can be found on our company websites.

Place	Date	Company stamp and legally binding signature(s)
		Name: