

part of eex group



Application for  
approval as a  
Trading Participant in  
EEX Guarantees of  
Origin -  
European Energy  
Exchange

Required in single copy

10.10.2025

Leipzig

Ref. TP\_EEX 06

Release 002

**- only required as scan -**

## Preliminary remark

With this form you apply for approval as a Trading Participant in Guarantees of Origin at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

The delivery notification of Guarantees of Origin will be effected by European Commodity Clearing Luxembourg S.a.r.l. (ECC Lux), a subsidiary of ECC. Both ECC and ECC Lux will be mentioned in this form as ECC.

Approval as a Trading Participant requires:

- (a) participation in clearing as a Clearing Member or Non-Clearing Member for European Energy Exchange
- (b) admission as an exchange participant at European Energy Exchange

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant and sign it in a legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

[Membership@eex.com](mailto:Membership@eex.com)

3. ECC shall decide on the application regarding approval as a Trading Participant and it shall inform the applicant and European Energy Exchange thereof.

## Application

We hereby apply for approval as a Trading Participant in trading of Guarantees of Origin according to the Clearing Conditions of ECC.

### Registry Account options

- with ECC instruction to Registry Administrator (Declaration for Trading Participants **with** Registry Account)
- without ECC instruction to Registry Administrator ((Declaration for Trading Participants **without** Registry Account)

The legal basis for approval as a Trading Participant is established in

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at [www.ecc.de](http://www.ecc.de)

## Applicant

Member-ID: \*

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="E"/>	<input type="text" value="X"/>
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\* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Name of the Company and Company Register	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

## Emergency Contact / 24/7

Contact person
Contact person
Telephone number
E-mail

## VAT details

VAT details are only required if a classification for the purpose of VAT treatment has not taken place previously or changes have to be communicated compared to the previously provided TP07 and/or related to the envisaged transactions in trading of Guarantees of Origin. We have attached the form TP07 "VAT Details" with this application.

# Declaration for Trading Participants with Registry Account

1. We herewith request to add the following reference account to the Trusted Account List of ECC:

Name of Registry Sender (Seller)	
Registry Name	
Registry Country <sup>1</sup>	
Registry Account No. Sender (e.g. AIB Code)	

Name of Registry Receiver (Buyer)	
Registry Name	
Registry Country <sup>1</sup>	
Registry Account No. Receiver (e.g. AIB Code)	

<sup>1</sup> The registries of the following countries are eligible for delivery: Austria, Belgium, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Ireland, Latvia, Lithuania, Luxembourg, Netherlands, Norway, Portugal, Slovakia, Slovenia, Sweden or Switzerland

2. It is **mandatory to register one (1) company owned reference account for Registry Transfers**. The above mentioned reference account will be setup within ECC's Trusted Account List, the activation will be effective at the AIB hub within two (2) business days. As soon as a registry account is added to the Trusted Account List of ECC, transfers of GOs requested via the ECC Member Area will be processed without delay. Such transactions cannot be revoked afterwards.
3. We authorize ECC to take all measures to ensure safe and compliant clearing. Such measures may include:
  - Postponed Delivery: In case at least one seller does not meet the delivery deadline at E+1 14:00 (delivery failure). The delivery to all buyers will be shifted by two hours (E+2 from 16:00 to 18:00).
  - Postponed Delivery with Buy-in Procedure: At least one seller missed also the postponed delivery deadline at E+2 10:30 (delivery failure). Delivery to all participants will be shifted to E+3 14:30. Missing amount of GOs will be purchased through buy-in procedure in an OTC Transaction with the contractual basis of the RECS-Standard-GO contract.
  - Postponed Delivery with Buy-in Procedure and Curtailment: At least one seller missed also the postponed delivery deadline E+2 10:30 (delivery failure). Delivery to all participants will be shifted to E+3, 14:30. Missing amount of GOs could be partly or not purchased through buy-in auction leading to curtailed delivery to buyers.

A prior notification by ECC is not required.
4. Should we infringe our declarations and should this infringement or the implementation of measures according to number 3 result in damages on the side of ECC, we are obliged to compensate all damages and costs incurred at ECC to their fullest extent. The right to assert further damages of ECC according to the rules and regulations of ECC (in particular the Clearing Conditions) shall not be affected.

## Declaration for Trading Participants without Registry Account

1. In order to ensure proper clearing of GO Futures and minimize risks due to a missing proof of the capability of physical fulfilment, we represent and warrant by way of an independent, unconditional guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*), that
  - we will comply with the applicable expiry limits according to the Rules and Regulations of EEX;
  - we or our clients will under any circumstance avoid an instruction of ECC to the Registry Administrator to make a transfer of GOs as a result of our participation in trading in EEX GO Futures. We will ensure that all of our own positions in EEX GO Futures or such positions of our clients have been closed at the latest at the Last Trading Day 12:00 (noon) by means of contractual or other internal measures;
  - that we will not open any new positions in these contracts on the Last Trading Day after 12:00 (noon).
2. We undertake to inform the ECC forthwith or at the latest before the commencement of the Last Trading Day in case we cannot fulfil the guarantee under number 1.
3. In case we have infringed the guarantee under number 1, we authorize ECC to take all measures to ensure safe and compliant clearing. Such measures may include an instruction to the Registry Administrator to open a registry account in the French registry. In such case, ECC will further proceed according to number 3 et seq. of the section "Declaration for Trading Participants with Registry Account" above.
4. Should we infringe our declarations and should this infringement or the implementation of measures according to number 3 result in damages on the side of ECC, we are obliged to compensate all damages and costs incurred at ECC to their fullest extent. The right to assert further damages of ECC according to the rules and regulations of ECC (in particular the Clearing Conditions) shall not be affected.
5. We are aware that due to the missing capability for physical settlement, ECC is entitled to either reject or subsequently restrict an approval as Trading Participant or revoke said approval at its own discretion in case of an infringement of our obligations under this form or on account of a changed general or individual risk assessment.

## General declarations

1. We hereby assure that we will, in addition to the Clearing Conditions of ECC, acknowledge and observe the rules and regulations of ECC.
2. This application shall expire as soon as the approval as a Trading Participant is revoked according to the Clearing Conditions of ECC.
3. The declarations (see above) shall expire as soon as the Trading Participant declares another delivery option to ECC.

### PRIVACY NOTICE

The undersigned assures that he/she has fully informed the contact persons named in connection with this application and the legal relation with ECC about the processing of their personal data by ECC and its subcontractors in accordance with GDPR and that he/she has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the Trading Participant:

Place	Date	Company stamp and legally binding signature(s) Signature(s):  Name(s):
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