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ecc

Application for approval as a Trading Participant at EPEX SPOT SE for trading in Nordic Power Spot Contracts (own balance area agreement)

- only required as scan -

Required in single copy

04.04.2022
Leipzig

Ref. TP_EPEX Nordic_02a
Release 006A

Preliminary remark

With this form you are applying for approval as a Trading Participant at European Commodity Clearing AG ("ECC") for trading in Nordic Power Spot Contracts (Contracts at the Day-Ahead and Intra-Day Market) and at French Capacity Market traded at EPEX SPOT SE in accordance with the Clearing Conditions of European Commodity Clearing AG (ECC).

The physical settlement of EPEX SPOT SE Power Contracts and Capacity Guarantees of the French Capacity Market (CGFR) will be effected by European Commodity Clearing Luxembourg S.à.r.l. (ECC Lux) a subsidiary of ECC. Both ECC and ECC Lux will be referred to in this form as ECC.

Approval as a Trading Participant requires:

(a) participation in clearing as a Clearing Member, Non-Clearing Member or DCP Clearing Member for the market, where the respective product is being traded,

(b) admission as an exchange participant at the market or a comparable access to the market (acknowledged by ECC as equivalent) acknowledged access to the market, where the respective product is being traded or offered for trading.

(c) proof of the capability for physical settlement at the respective transmission grid by holding an own balance area agreement with the Nordic Transmission System Operators Statnett and/or Svenska Kraftnät and/or Fingrid and/or Energinet.dk and with eSett as responsible party for the imbalance settlement and invoicing - within the respective market areas :
* Norway (Statnett) - NO1 / NO2 / NO3 / NO4 / NO5
* Sweden (Svenska Kraftnät) - SE1 / SE2 / SE3 / SE4
* Finland (Fingrid) – FIN
* Denmark (Energinet.dk) – DK1 / DK2

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant and BRP and sign it in a legally binding manner.

2. Send this application in scanned version to the following E-Mail address:

   MemberReadiness@ecc.de
   Phone: +49 341 24680 261

3. ECC shall decide on the application regarding approval as a Trading Participant and inform the applicant and the EPEX SPOT SE thereof.

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Application

We hereby apply for approval as a Trading Participant in EPEX SPOT SE Nordic Power Spot Contracts according to the Clearing Conditions of ECC:

The legal basis for approval as a Trading Participant is established in:

- this application,
- the Clearing Conditions of ECC as amended from time to time,
- the Clearing Agreement (Clearing Member), NCM Agreement (Non-Clearing Member), the Settlement Bank Agreement or any other agreement between the DCP Clearing Member and the Settlement Bank regarding keeping of a Settlement Account and
- the price list of ECC amended from time to time.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de.

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Applicant (Retailer)

Member-ID: *

			E	X

* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Information regarding the designated Trading Participant (Retailer)	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

Emergency Contact / Nominations / 24/7

Contact person
Name or department
Telephone number
Fax
E-mail

The use of the following mentioned balance area agreements for the physical settlement of our transactions in EPEX SPOT SE Nordic Power Contracts shall take effect:

☒ as of the next delivery date possible

☐ as of delivery date _____

☒ **Setup**	☐ **Change of Nomination Code**[1]

[1] A Change of nomination code will not be possible on Mondays, Fridays, during the weekend and on German bank holidays. ECC shall receive the application regarding the change of nomination code (TP_EPEX_02a/b) in soft version **10 work days prior** to the envisaged date of change in order to ensure the proper setup in the systems. Please insert the respective new code on the following page.

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Proof of capability for physical settlement (Retailer)

For Nordic Power Spot Contracts

Our own balance area agreement should be used for physical settlement of our transactions in EPEX SPOT SE Nordic Power Contracts:

In case of already existing control areas (for RE and BRP) please only mark the **additional ones** with a cross:

Day-Ahead and Intra-Day Market (auction trading):

Retailer (RE) Party (Trading Participant) - Information regarding the balance area agreement					
Control areas[2]	Delivery account (e.g. EAN Code)				
☐ Finland - Fingrid	FIN:				
☐ Denmark – Energinet.dk	DK1:		DK2:		
☐ Sweden - Svenska Kraftnät	SE1:	SE2:	SE3:	SE4:	
☐ Norway - Statnett	NO1:	NO2:	NO3:	NO4:	NO5:
	Norwegian VAT number:				

Intra-Day Market (continuous trading):

Retailer (RE) Party (Trading Participant) - Information regarding the balance area agreement					
Control areas[2]	Delivery account (e.g. EAN Code)				
☐ Finland - Fingrid	FIN:				
☐ Denmark – Energinet.dk	DK1:		DK2:		
☐ Sweden - Svenska Kraftnät	SE1:	SE2:	SE3:	SE4:	
☐ Norway - Statnett	NO1:	NO2:	NO3:	NO4:	NO5:
	Norwegian VAT number:				

[2] Multiple control areas and Delivery Accounts can be selected

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Proof of capability for physical settlement (designated BRP)

For Nordic Power Spot Contracts

Our own balance area agreement should be used for physical settlement of our transactions in EPEX SPOT SE Nordic Power Contract:

Information regarding the designated Balance Responsible Party (BRP)	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

In case of already existing control areas (for RE and BRP) please only mark the **additional ones** with a cross:

Day-Ahead and Intra-Day Market (auction trading):

Balance Responsible Party (BRP) - Information regarding the balance area agreement					
Control areas[2]	Delivery account (e.g. EAN Code)				
☐ Finland - Fingrid	FIN:				
☐ Denmark – Energinet.dk	DK1:		DK2:		
☐ Sweden - Svenska Kraftnät	SE1:	SE2:	SE3:	SE4:	
☐ Norway - Statnett	NO1:	NO2:	NO3:	NO4:	NO5:
	Norwegian VAT number:				

Intra-Day Market (continuous trading):

Balance Responsible Party (BRP) - Information regarding the balance area agreement					
Control areas[2]	Delivery account (e.g. EAN Code)				
☐ Finland - Fingrid	FIN:				
☐ Denmark – Energinet.dk	DK1:		DK2:		
☐ Sweden - Svenska Kraftnät	SE1:	SE2:	SE3:	SE4:	
☐ Norway - Statnett	NO1:	NO2:	NO3:	NO4:	NO5:
	Norwegian VAT number:				

[2] Multiple control areas and Delivery Accounts can be selected

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VAT Details

VAT details are only required if a classification for the purpose of VAT treatment has not taken place previously or these classifications are not relevant for deliveries of electricity at the respective transmission grid. We have attached the form TP07 “VAT Details” with this application if applicable.

VAT Registration in Norway

Norwegian VAT will apply for deliveries of power in Norway. Therefore a respective registration in Norway is required. Please attach a proof of this registration.

Declarations

1. We hereby assure that we will acknowledge and observe the rules and regulations of ECC (in particular the Clearing Conditions) and the rules and regulations of EPEX SPOT SE in their respectively valid versions.

2. We recognise that in the case of mismatches between schedules nominated to eSett as responsible party for imbalance settlement and invoicing of the Balance Responsible Parties in the Nordic market areas, the schedules nominated by ECC are binding and that we will take all measures required, in particular the correction of our schedules, in order to match the schedules nominated by us with the binding schedules nominated by ECC. Any imbalances between the binding schedules nominated by ECC and the amount of electricity actually injected or withdrawn shall be borne by us.

3. If eSett rejects the schedules nominated by ECC for the physical settlement of our transactions in EPEX SPOT SE Nordic Power Spot Contracts, because we failed to provide the capability for physical settlement according to its terms and conditions (Commercial Code), we will hold ECC harmless from any damages caused by this rejection. We will undertake appropriate actions to solve the cause of the rejection, immediately after being informed.

4. We assure that we will inform ECC immediately with regard to the loss of the proof of the capability for physical Settlement of the BRP and / or the Retailer. This shall apply especially in the case of a suspension or termination of an own balance area agreement or in case of insufficient collaterals provided to the respective transmission system operators and/or eSett.

5. If a Nordic TSO decides to modify the topology in its market areas as per the applicable procedures, ECC will perform the necessary amendments to the information provided in this TP form accordingly and inform all effected Trading Participants by way of a Clearing Circular without undue delay.

6. We hereby declare our consent to for the forwarding of information by ECC regarding physical delivery to EPEX SPOT SE, to the affected transmission system operators and to eSett, respectively.

7. We hereby authorise the affected transmission system operators and eSett to inform ECC with regard to our BRP and Retailer balance area agreement and about the status of our nominated schedules and deliveries.

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PRIVACY NOTICE

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the **Trading Participant/Retailer**:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):

On behalf of the **BRP**:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):

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