

part of eex group



Change of Clearing Member – urgent case

07.11.2019
Leipzig

Ref. NCM05a
Release 011

URGENT

Preliminary remark

With this application form you can apply for the change of Clearing Member at European Commodity Clearing AG (ECC) according to the Clearing Conditions of ECC.

This application involves the following steps:

1. Please fill in the application form for change of Clearing Member and sign it in legally binding manner.
2. Submit one scanned version of this application to your future new Clearing Member to countersign
3. ECC shall receive the application regarding the urgent change of Clearing Member (NCM05a) in soft version together with the NCM02 Agreement (3 examples in original) until 2pm of the business day preceding the dired change to ensure the Change of Clearing Member.
4. Please inform ECC via phone regarding the intended change.

ECC Contact:

Fax: +49 341 24680-559

Phone: +49 341 24680-261

Please send the NCM05a form to the following e-mail address: memberreadiness@ecc.de

Please send the NCM02 Agreement to your future Clearing Member directly.

For a change of the Clearing Member until the next business day (URGENT APPLICATION) this application has to be received by ECC by 2:00pm of the business day preceding the desired change of the Clearing Member! At the same time, ECC shall be notified of the intended change of the clearer via phone.

Application

We hereby apply for the change of Clearing Member according to the Clearing Conditions of ECC.

By signing this application, we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe them. We have downloaded the most recent version of the documents from the ECC website at www.ecc.de

Change of Clearing Member

Applicant

Name of applicant	
Name of the company	
Address of applicant	
Country	Street and house number
Post code	Place

We herewith apply to change our Clearing Member and to transfer our positions, if applicable:

for all markets, which will be cleared by ECC AG

or

for following markets:

EEX Asia Pte Ltd.

NOREXECO ASA

EPEX SPOT SE

PXE Power Exchange Central Europe

European Energy Exchange (EEX)

SEEPEX a.d. Beograd

HUDEX Hungarian Derivative Energy Exchange

SEMOpx

HuPX Hungarian Power Exchange

from

current Clearing Member

to

new Clearing Member

The following declarations are enclosed with this application or have been submitted to ECC as anticipated declarations:

Approval of the position transfer by the accepting Clearing Member

- new NCM-Agreement is attached to this application
- back up NCM Agreement has already been submitted to ECC

To be completed by the Non-Clearing Member:

- We give permission to ECC AG that all details concerning current traded products, trading limits and margin requirements could be shared with the new Clearing Member upon request.
- We give permission to ECC AG to inform the current CM about the change and the change date.

To be completed by the new Clearing Member (if applicable):

- Current member's Intraday limit shall apply after the change of Clearing Member

and/or

- EUR _____ for EPEX SPOT Intraday
- EUR _____ for HUPX SPOT Intraday
- EUR _____ for SEMOpX SPOT Intraday

On behalf of the NCM

Place	Date	Company stamp and legally binding signature(s) of the company
		<p>Name(s):</p>

On behalf of the new CM

Place	Date	Company stamp and legally binding signature(s) of the company
		<p>Name(s):</p>