

Appendix for
**Emissions, Freight,
Agriculturals & Fibre**
to the EEX Group KYC
(Know-Your-Customer)
Questionnaire

06.12.2023
Release 001

| | | | |
|-------------------------------------|--|---|---|
| Name of Applicant (Company's name): | Member-ID:* | E | X |
| | * Need to get filled only in case you are already Member at ECC. NEW members can leave it blank. | | |

1. Your trading profile

1.1 On which market segments your company is or wants to become active?

| Spot Market | | Derivatives Market |
|-----------------|------------------|-----------------------|
| Auction | Secondary Market | |
| Emission Rights | Emission Rights | Emission Rights |
| | | Freight |
| | | Agricultural Products |
| | | Fibre |

1.2 Please provide detailed explanation and justification why your company is or wants to be a member of our markets?

1.3 What is/will be your activity?

| Occasional | Seasonal | Permanent |
|------------|----------|-----------|
| | | |

1.4 What trading volume do you expect in each selected product?

| Commodity | Market | Volume (unit) |
|-----------|--------|---------------|
| | | |
| | | |
| | | |
| | | |

2. Your capability to intervene

2.1 Who are the professional traders working for your company?

| Name, First name | Date of birth | Full time | | Employed by another firm (which?) | Experience on trading systems (which?) |
|------------------|---------------|-----------|----|-----------------------------------|--|
| | | Yes | No | | |
| | | Yes | No | | |
| | | Yes | No | | |
| | | Yes | No | | |

2.2 What are your internal facilities dedicated to trading?

| Dedicated Facilities | Details |
|---|---------|
| IT solutions / resources / systems / premises | |
| Backup solutions | |
| Dedicated Trading floor / size | |
| Other | |

