

part of eex group



# Setup of Simple Omnibus (SOS) Pool

05.09.2025  
Leipzig

CM02e  
Release 006

**- only required as scan -**

# Setup of Simple Omnibus Pool

This form shall be used to request the set-up of a Simple Omnibus Collateral Clearing Account ("SOS Pool") according to ECC Clearing Conditions section 3.5.7. Please complete all relevant sections. For questions, contact [memberreadiness@ecc.de](mailto:memberreadiness@ecc.de).

|  |  |   |                  |  |                               |  |                                  |  |   |  |
|--|--|---|------------------|--|-------------------------------|--|----------------------------------|--|---|--|
| European Commodity Clearing AG<br>Augustusplatz 9, 04109 Leipzig<br>Phone: +49 (0) 341-24680 - 261<br>Fax: +49 (0) 341-24680 - 559   | Name of Institution Clearing Member (CM) | CM Member-ID:<br><div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 2px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 2px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 2px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 2px; text-align: center;">E</div> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 2px; text-align: center;">X</div> </div> |                  |  |                               |  |                                  |  |   |  |
| <p><b>We hereby request to set up a SOS Pool.</b></p> <p>By default, end-of-day payments in relation to the SOS Pool will be handled as follows:</p> <ul style="list-style-type: none"> <li>Cash collateral for an end-of-day margin call will be deposited to the SOS Pool</li> <li>Excess cash collateral remains on the SOS Pool</li> <li>Payments will be processed net together with the Standard Collateral Clearing Account</li> </ul> <p>Following change to the default setup shall be implemented:</p> <p><input type="checkbox"/> Automatic cash collateral withdrawal of excess collateral from SOS Pool – "Auto-Repay"</p> <p><input type="checkbox"/> Gross payment for SOS Pool – "Separate Cash Chain"</p> <p>Complete the following section only if "Separate Cash Chain" option is selected:</p> <p><input type="checkbox"/> Separate Cash Chain for <b>EUR</b> payment settlement for the SOS Pool in line with the Clearing Conditions:</p> <p style="margin-left: 20px;"><b>Option A:</b> T2 EUR Account<sup>1</sup>   <input type="checkbox"/> Own Account   <b>OR</b>   <input type="checkbox"/> 3<sup>rd</sup> Party Account<sup>2</sup></p> <table border="1" style="width: 100%; border-collapse: collapse; margin-left: 20px;"> <tr> <td style="width: 30%; padding: 5px;"><b>Party BIC</b></td> <td style="height: 20px;"></td> </tr> <tr> <td style="padding: 5px;"><b>SB Cash Account Number</b></td> <td style="height: 20px;"></td> </tr> </table> <p style="margin-left: 20px;"><b>Option B:</b> EUR Commercial Bank Account<sup>3</sup>   <input type="checkbox"/> Own Account</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-left: 20px;"> <tr> <td style="width: 30%; padding: 5px;"><b>BIC of Correspondent Bank</b></td> <td style="height: 20px;"></td> </tr> <tr> <td style="padding: 5px;"><b>Account Number with Correspondent Bank</b></td> <td style="height: 20px;"></td> </tr> </table> |  |   | <b>Party BIC</b> |  | <b>SB Cash Account Number</b> |  | <b>BIC of Correspondent Bank</b> |  | <b>Account Number with Correspondent Bank</b> |  |
| <b>Party BIC</b>   |  |   |                  |  |                               |  |                                  |  |   |  |
| <b>SB Cash Account Number</b>  |  |   |                  |  |                               |  |                                  |  |   |  |
| <b>BIC of Correspondent Bank</b>   |  |   |                  |  |                               |  |                                  |  |   |  |
| <b>Account Number with Correspondent Bank</b>  |  |   |                  |  |                               |  |                                  |  |   |  |

<sup>1</sup> A registration of the T2 account in the ECC Settlement Bank Account Group in T2 is required. In case of need for process clarification, please align with [memberreadiness@ecc.de](mailto:memberreadiness@ecc.de).

<sup>2</sup> A Power of Attorney of the Account holder is required (via ECC form CM12).

<sup>3</sup> This option is only available for Clearing Members that can evidence that they cannot get access to a T2 account as stipulated in chapter 2.1.4 of the ECC Clearing Conditions. Please align with [memberreadiness@ecc.de](mailto:memberreadiness@ecc.de) if you would like to choose this option. Separate form for activation of Commercial Bank Account is required (CM08).

Optional: Separate Cash Chain for **USD/GBP/JPY** for the SOS Pool in line with the Clearing Conditions<sup>4</sup>:

USD Commercial Bank Account<sup>5</sup>     Own Account    OR     3rd Party Account<sup>6</sup>

|   |  |
|---|--|
| <b>BIC of Correspondent Bank</b>              |  |
| <b>Account Number with Correspondent Bank</b> |  |

GBP Commercial Bank Account<sup>5</sup>     Own Account    OR     3rd Party Account<sup>6</sup>

|   |  |
|---|--|
| <b>BIC of Correspondent Bank</b>              |  |
| <b>Account Number with Correspondent Bank</b> |  |

JPY Commercial Bank Account<sup>5</sup>     Own Account    OR     3rd Party Account<sup>6</sup>

|   |  |
|---|--|
| <b>BIC of Correspondent Bank</b>              |  |
| <b>Account Number with Correspondent Bank</b> |  |

Complete this section only if you intend to post securities as collateral.

Clearstream Europe (CEU) Pledged-Securities Account(s)

|  |  |
|--|--|
| <b>Account number<br/>CEU domestic (CASCADE) account</b>       |  |
| <b>Account number<br/>CEU international (Creation) account</b> |  |

to be linked to the SOS Pool

The following C7 position accounts are to be allocated to the new SOS Pool<sup>7</sup>:

| Name of NCM / Client | NCM ID or CM ID (only in case of Agency Positions of CM) | C7 Position Account (e.g., A1) |
|----------------------|--|--------------------------------|
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |
|                      |  |                                |

<sup>4</sup> In case of trading of product denoted in USD/ GBP / JPY

<sup>5</sup> Separate form for activation of Commercial Bank Account is required (CM08).

<sup>6</sup> A Power of Attorney of the Account holder is required (CM08a).

<sup>7</sup> In case more lines are required, please attach a signed letter including a table with all required NCMs and Position-Accounts.

Filled by ECC Pool ID for SOS Pool     E X

| Place | Date | Legally binding signature by CM |
|-------|------|---------------------------------|
|       |      |                                 |