part of eex group



Setup of collateral clearing account

17.01.2024 Leipzig

Ref. CM02e Release 005

- only required as scan -

Setup of Simple Omnibus Pool

This form shall be used to request the set-up of a Simple Omnibus Collateral Clearing Account ("SOS Pool") according to ECC Clearing Conditions section 3.5.7.

-	modity Clearing AG	Name of Institution Clearing Member (CM))	CM Member-ID:			
Augustusplatz 9								
Phone: +49 (0) Fax: +49 (0) 34	341-24680 - 261 1-24680 - 559				EX			
We hereby re	quest to set up a SO	DS Pool.						
By default, end	d-of-day payments in	relation to the SC	OS Pool will be handled as	s follows:				
	collateral for an end ution Clearing Memb		all will be deposited to the	Standard Collatera	al Clearing Account of the			
• Exce	ss cash collateral ren	nains on the SOS	S Pool					
 Payrr 	nents will be process	ed net together w	ith the Standard Collatera	I Clearing Account	t			
Following char	nge to the default set	up shall be imple	mented:					
Direct ca	sh collateral deposit	to the SOS Pool	in case of end-of-day man	gin call – "Direct D	ebit"			
Only, if "	Direct Debit" option is	s selected:						
	Automatic cash collateral withdrawal of excess collateral from SOS Pool – "Auto-Repay"							
	Gross payment for – "Separate Cash							
Only, if "	Separate Cash Chair	י" option is select	ed:					
	Separate Cash Ch Conditions:	ain for EUR payn	nent settlement for the SC	S Pool in line with	the Clearing			
	Option A:	T2 EUR Account ¹	¹ Own Account	OR 3 rd Par	ty Account ²			
	Party BIC							
	SB Cash Account							
	Option B:	EUR Commercial	Bank Account ³	Own Account				
				Own Account				
	BIC of Correspon	dent Bank						
	Account Number Correspondent Ba							

¹ A registration of the T2 account in the ECC Settlement Bank Account Group in T2 is required. In case of need for process clarification, please align with memberreadiness@ecc.de.

² A Power of Attorney of the Account holder is required (CM12).

³ This option is only available for Clearing Members who can evidence that they cannot get access to a T2 account as stipulated in chapter 2.1.4 of the ECC Clearing Conditions. Please align with <u>memberreadiness@ecc.de</u> if you would like to choose this option. Separate form for activation of Commercial Bank Account is required (CM08).

	USD Commercial Bar	nk Account⁵	Own Account	OR	3rd Party Account ⁶
	BIC of Corresponder	nt Bank			
	Account Number wit Correspondent Bank				
	GBP Commercial Bar	nk Account⁵	Own Account	OR	3rd Party Account ⁶
	BIC of Corresponder	nt Bank			
	Account Number wit Correspondent Bank				
	JPY Commercial Ban	k Account⁵	Own Account	OR	3rd Party Account ⁶
	BIC of Corresponder	nt Bank			
		_			
	Account Number wit Correspondent Bank equired if security collatera	al shall be used		SOS Poo	I.
F	Correspondent Bank	al shall be used (Cascade or C	Creation) to be linked to S		I: Position-Account ⁷
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account	al shall be used (Cascade or C	Creation) to be linked to S		
F	Correspondent Bank equired if security collatera Pledged securities account the of NCM / Client	al shall be used (Cascade or C NCM ID Age	Creation) to be linked to S or CM ID (only in case ncy Positions of CM)		

 ⁴ In case of trading of product denoted in USD/ GBP / JPY
 ⁵ Separate form for activation of Commercial Bank Account is required (CM08).
 ⁶ A Power of Attorney of the Account holder is required (CM08a).

⁷ In case more lines are required, please attach a signed letter including a table with all required NCMs and Position-Accounts.