

part of eex group



Application for
approval as a
Trading Participant in
Serbian Power Spot
Contracts
(own balance area
agreement)

Required in single copy

21.03.2023

Leipzig

Ref. TP_SEEPEX_01a

Release 006

- only required as scan -

Preliminary remark

With this form you are applying for approval as a Trading Participant in Serbian Power Spot Contracts (Contracts at the Day-Ahead Market and Intraday Market) at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

The physical settlement of Serbian Power Spot Contracts will be effected by European Commodity Clearing Luxembourg S.a.r.l. (ECC Lux) a subsidiary of ECC. Both ECC and ECC Lux will be mentioned in this form as ECC.

Approval as a Trading Participant requires:

- (a) participation in clearing as a Clearing Member or Non-Clearing Member for the market, where the respective product is being traded,
- (b) admission as an exchange participant at the market or a comparable and by ECC as equivalent acknowledged access to the market, where the respective product is being traded,
- (c) proof of the capability for physical settlement at the Serbian transmission grid by holding an own balance area agreement with Elektromreža Srbije (EMS).

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant and sign it in legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

| |
|--|
| <p>MemberReadiness@ecc.de Phone: +49 341 24680 261</p> |
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3. ECC shall decide on the application regarding approval as a Trading Participant and inform the applicant as well as the South East European Power Exchange (SEEPEX) thereof.

Application

We hereby apply for approval as a Trading Participant in the Products according to the Clearing Conditions of ECC.

Serbian Power Spot Contracts – Day Ahead Market

Serbian Power Spot Contract – Intraday Market

The legal bases for approval as a Trading Participant is established in:

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de.

Applicant

Member-ID: *

| | | | | |
|----------------------|----------------------|----------------------|--------------------------------|--------------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text" value="E"/> | <input type="text" value="X"/> |
|----------------------|----------------------|----------------------|--------------------------------|--------------------------------|

* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

| Name of the Company and Company Register | |
|--|---------------------------------|
| Name of the Company according to excerpt from company register | Legal status |
| Tax number | Sales tax identification number |

Emergency Contact / Nominations / 24/7

| Contact person |
|------------------|
| Contact person |
| Telephone number |
| Fax |
| E-mail |

Proof of capability for physical settlement

Our own balance area agreement should be used for physical settlement of our transactions in Serbian Power Spot Contract:

| Information regarding to the balance area agreement | |
|---|--------------------------------|
| Market Area | ETSO Identification Code (EIC) |
| Serbian Power Spot Day-Ahead | |
| Serbian Power Spot Intraday | |

The use of the balance area agreement mentioned above for the physical settlement of our transactions in Serbian Power Spot Contracts shall take effect:

- as of the next delivery date possible
- as of delivery date _____

We acknowledge that we may withdraw the use of the balance area agreements for physical settlement at the end of a calendar month with a notice period of 5 business days.

VAT Details

VAT details are only required if a classification for the purpose of VAT treatment hasn't taken place previously or these classifications are not relevant for deliveries of electricity at the Serbian transmission grid. We have attached the form TP07 "VAT Details" with this application if applicable.

Declarations

1. We hereby assure that we will acknowledge and observe the rules and regulations of ECC (in particular the Clearing Conditions) and the rules and regulations of SEEPEX in their respectively valid version.
2. We recognise that in the case of mismatches between schedules nominated EMS the schedules nominated by ECC are binding and that we will take all measures required, in particular the correction of our schedules, in order to match the schedules nominated by us with the binding schedules nominated by ECC. Any imbalances between the binding schedules nominated by ECC and the amount of electricity actually injected or withdrawn shall be borne by us.
3. If EMS rejects the schedules nominated by ECC for the physical settlement of our transactions in Serbian Power Spot Contracts, because we failed to provide the capability for physical settlement according to its terms and conditions, we will hold ECC harmless from any damages caused by this rejection. We will undertake appropriate actions to solve the cause of the rejection, immediately after being informed.
4. We assure that we will inform ECC immediately with regard to the loss of the proof of the capability for physical settlement. This shall apply especially in the case of the loss of a balance area agreement.
5. We hereby declare our consent for the forwarding of information by ECC regarding physical delivery to SEEPEX and to EMS.
6. We hereby authorise EMS to inform ECC with regard to our balance area agreement and about the status of our nominated schedules and deliveries.

The terms and conditions as laid down in the respective documentation for SEEPEX are subject to the already agreed but not yet implemented changes to the Serbian grid code and changes to the Serbian energy law to be undertaken for the establishment of the power exchange. If the changes should not be implemented in the envisaged way the documentation will have to be adopted accordingly.

PRIVACY NOTICE

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the Trading Participant:

| | | |
|-------|------|---|
| Place | Date | Company stamp and legally binding signature(s) Signature(s): Name(s): |
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